

Author-Functions in Literary Theory and Interpretive Practice. A Comparison

The debate about the ›return of the author‹ (Jannidis et al.) or the return of the author as an author-function (Spoerhase), which has become increasingly nuanced over the last ten years, is in a sense analogous to the efforts to give a scientific foundation to literary studies in the 1970s. Both have to face the reproach that their anticipations about research have not (yet) been realized. The early calls for an increased scientific element (*Wissenschaftlichkeit*) in interpretive argumentation in literary studies must in retrospect be treated as having failed completely. It seems, however – not least according to a study by Winko (2002) – that the re-theorization of the author cannot be confined to propositions within theory but finds its real legitimization in the practices of interpretation that are specific to literary studies. Building on this, a corpus of forty interpretations of Lenz's drama *Die Soldaten* (›The Soldiers‹) was analysed with reference to the functionalizations of the author-concept in the argumentation through which meaning is ascribed. These functions identified in practice are compared with the author-functions previously postulated by the theories in question. It is intended that this comparison should result not in a tirade that exposes misguided interpretations or criticizes theoretical positions, but rather in a productive praxeology that could make the way in which interpretation functions in practice relevant to the formation of theories.

An introduction to the problematic field at stake, defined by theoretical debates on authorship and the relationship between theory and practice, is followed by necessary remarks on the definition of concepts that are familiar from the debate and the distinctions between them. ›Author-construct‹ is a term grounded in theory and describes – as does the synonymously used ›author-concept‹ – the totality of the interpretive operations with which the author can be functionalized on the basis of particular theoretical premises. ›Author‹ here does not mean the historical individual but always takes into account the fact that in interpretive arguments it is only the mental construction of the historical individual, as performed by the interpreter, that can be meant. Taking this further, ›author-function‹, as a shorthand for ›author-concept function‹, stands for the functions that the author-concept acquires in interpretations. The term therefore, because it always describes functions that are ascribed by the interpreter, necessarily refers not to the real author but to the author-concept of that interpreter. The theoretically postulated author-concept/-construct is in turn to be distinguished from the author-figuration. Whereas the former describes the sum of the functions that can be legitimately used in a

theory, the latter consists of the author-functions that are actually employed in the interpretive practice of that theory. Both are composed of the particular relationship between individual functions in which the author is employed in the argumentation that ascribes meanings to texts.

This terminological clarification is, granted, somewhat laborious, but it is unavoidable. It is followed by a description of the method of analysis employed. Two analyses of author-functions from the corpus are reviewed in detail as models; in the process, the arguments of their interpretations are examined and their ascriptions of meaning through the author-figuration are reconstructed. The author-functions identified in this way form function-clusters specific to each individual interpretation – bundles of functionalizations that are combined in interpretation and are compared subsequently with concisely reconstructed clusters from other analyses in the corpus. The analysis of an interpretation grounded in social history and discourse analysis is paired with an interpretation in which Lenz's poetological and literary works are examined by means of, on the one hand, a concept of genius based on authorial intention, and, on the other, an approach drawn from systems and action theory. Finally, in addition to an attempt to categorize the types of functionalization and a presentation of their problems, which follow at least in part from inconsistently designed theories, a hypothesis regarding the relationship between theory and practice is formulated. The hypothesis results from the finding obtained, which is that interpretive practice has seen the development of conventionalized standards of author-related argumentation that have to date been considered only insufficiently in the theoretical discussion with its normative character, but whose practical concept of authorship supports the theoretical legitimacy of author-related approaches.

Interpretationsbeispiele

- Ia:* Luserke, Matthias, *J. M. R. Lenz. »Der Hofmeister« – »Der neue Menoza« – »Die Soldaten«*, München 1993.
- Ib:* Unger, Thorsten, *Handeln im Drama. Theorie und Praxis bei J. Chr. Gottsched und J. M. R. Lenz*, Göttingen 1993.
- Ic:* Gjestvang, Ingrid Leiser, *Machtworte: Geschlechterverhältnisse und Kommunikation in dramatischen Texten (Lenz, Hauptmann, Bernstein, Streeruwitz)*, Ann Arbor, MI 2000.
- Id:* Nies, Martin, »Die innere Sicherheit«: Gattungsselbstreflexion und Gesellschaftskritik in der Komödie *Die Soldaten* von J. M. R. Lenz, *Zeitschrift für Semiotik* 27:1/2 (2005), 23–44.

Literatur

- Anz, Thomas (Hg.), *Handbuch Literaturwissenschaft*, Bd. 1: *Gegenstände und Grundbegriffe*, Darmstadt 2007.
- Damm, Siegrid (Hg.), *Lenz. Werke und Briefe in drei Bänden*, Leipzig 1987.

- Danneberg, Lutz, Weder Tränen noch Logik. Über die Zugänglichkeit fiktionaler Welten, in: Uta Klein/Katja Mellmann/Steffanie Metzger (Hg.), *Heuristiken der Literaturwissenschaft. Disziplininterne Perspektiven auf Literatur*, Paderborn 2006, 35–84.
- , Zum Autorkonstrukt und zu einem methodologischen Konzept der Autorintention, in: Jannidis et al. (Hg.), *Rückkehr des Autors*, Tübingen 1999, 77–105.
- Danneberg, Lutz/Hans-Harald Müller, Verwissenschaftlichung der Literaturwissenschaft. Ansprüche, Strategien, Resultate, *Zeitschrift für allgemeine Wissenschaftstheorie* 10 (1979), 162–191.
- Eibl, Karl, Der Autor als biologische Disposition, in: Jannidis et al. (Hg.), *Rückkehr des Autors*, Tübingen 1999, 48–60.
- , *Animal Poeta. Bausteine der biologischen Kultur- und Literaturtheorie*, Paderborn 2004.
- Foucault, Michel, Was ist ein Autor? [1969], in: Fotis Jannidis et al. (Hg.), *Texte zur Theorie der Autorschaft*, Stuttgart 2000, 198–229.
- Grewendorf, Günther, *Argumentation und Interpretation. Wissenschaftstheoretische Untersuchungen am Beispiel germanistischer Lyrikinterpretation*, Regensburg 1975.
- , Nicht-empirische Argumente. Zur Problematik ihrer wissenschaftstheoretischen Untersuchung, *Zeitschrift für allgemeine Wissenschaftstheorie* 9:1 (1978), 21–40.
- Jannidis, Fotis, Der nützliche Autor. Möglichkeiten eines Begriffs zwischen Text und historischem Kontext, in: Jannidis et al. (Hg.), *Rückkehr des Autors*, Tübingen 1999, 353–389.
- , Einführung: Der Autor in Gesellschaft und Geschichte, in: Jannidis et al. (Hg.), *Rückkehr des Autors*, Tübingen 1999, 297–302. (Jannidis 1999b)
- , Verstehen erklären, in: Martin Huber/Simone Winko (Hg.), *Literatur und Kognition. Bestandsaufnahmen und Perspektiven eines Arbeitsfeldes*, Paderborn 2009, 45–62.
- Jannidis, Fotis/Gerhard Lauer/Matías Martínez/Simone Winko (Hg.), *Rückkehr des Autors. Zur Erneuerung eines umstrittenen Begriffs*, Tübingen 1999.
- , Rede über den Autor an die Gebildeten unter seinen Verächttern. Historische Modelle und systematische Perspektiven, in: Jannidis et al. (Hg.), *Rückkehr des Autors*, Tübingen 1999, 3–35. (Jannidis et al. 1999b)
- Kindt, Tom/Hans-Harald Müller, *The Implied Author. Concept and Controversy*, Berlin 2006.
- Krause, Detlef, *Luhmann-Lexikon*, Stuttgart 1999.
- Kreft, Jürgen, *Theorie und Praxis der intentionalistischen Interpretation. Brecht – Lessing – Max Brod – Werner Jansen*, Frankfurt a.M. et al. 2006.
- Lumer, Christoph, *Praktische Argumentationstheorie. Theoretische Grundlagen, praktische Begründung und Regeln wichtiger Argumentationsarten*, Braunschweig 1990.
- Marquard, Odo, Inkompotentzkompensationskompetenz? Über Kompetenz und Inkompotentz der Philosophie, in: Hans M. Baumgartner/Otfried Höffe/Christoph Wild (Hg.), *Philosophie – Gesellschaft – Planung. Kolloquium, Hermann Krings zum 60. Geburtstag*, München 1974, 114–125.
- Michel, Sascha, *Ordnungen der Kontingenzen. Figurationen der Unterbrechung in Erzähldiskursen um 1800 (Wieland – Jean Paul – Brentano)*, Tübingen 2006.
- Nef, Ernst, *Der Zufall in der Erzählkunst*, Bern 1970.
- Savigny, Eike von, *Argumentation in der Literaturwissenschaft. Wissenschaftstheoretische Untersuchungen zu Lyrikinterpretationen*, München 1976.
- Schmidt, Siegfried J., *Literaturwissenschaft als argumentierende Wissenschaft. Zur Grundlegung einer rationalen Literaturwissenschaft*, München 1975.

- Schneider, Ralf, Plädoyer für eine theoriegeleitete Literaturwissenschaft – Einleitung und Überblick, in: R.S. (Hg.), *Literaturwissenschaft in Theorie und Praxis*, Tübingen 2004, 1–22.
- Spoerhase, Carlos, *Autorschaft und Interpretation. Methodische Grundlagen einer philologischen Hermeneutik*, Berlin 2007.
- Spree, Axel, *Kritik der Interpretation. Analytische Untersuchungen zu interpretationskritischen Literaturtheorien*, Paderborn et al. 1995.
- Steiner, Felix, *Dargestellte Autorschaft. Autorkonzept und Autorsubjekt in wissenschaftlichen Texten*, Tübingen 2009.
- Strube, Werner, Über verschiedene Arten, den Autor besser zu verstehen, als er sich selbst verstanden hat, in: Jannidis et al. (Hg.), *Rückkehr des Autors*, Tübingen 1999, 136–155.
- Toulmin, Stephen, *Der Gebrauch von Argumenten*, Kronberg 1975.
- Winko, Simone, Autor-Funktionen. Zur argumentativen Verwendung von Autorkonzepten in der gegenwärtigen literaturwissenschaftlichen Interpretationspraxis, in: Heinrich Detering (Hg.), *Autorschaft. Positionen und Revisionen*, Stuttgart et al. 2002, 334–354.
- , Diskursanalyse, Diskursgeschichte, in: Heinz Ludwig Arnold/Heinrich Detering (Hg.), *Grundzüge der Literaturwissenschaft*, München 2005, 463–479.
- Wunderlich, Werner, Ein fabelhaftes Dichterleben. Autorkonstrukt und Biographiefiktion der Äsop-Vita und ihre Rezeption durch Hans Joachim Schädlich, in: Dorothea Walz (Hg.), *Scripturus vitam: Lateinische Biographie von der Antike bis in die Gegenwart*, Heidelberg 2002, 125–138.

Full-length article in: JLT 5/2 (2011), 279–301.

How to cite this item:

Abstract of: Marcus Willand, Autorfunktionen in literaturwissenschaftlicher Theorie und interpretativer Praxis. Eine Gegenüberstellung.
In: JLTonline (12.07.2011)
Persistent Identifier: urn:nbn:de:0222-001851
Link: <http://nbn-resolving.de/urn:nbn:de:0222-001851>