Abstracts 395

NEAL R. NORRICK

A Theory of Humor in Interaction

Humor is a prevalent feature in many forms of interaction. Banter, teasing, irony and sarcasm frequently surface in everyday talk, and conversationalists often engage in telling funny stories if not outright joke-telling. Any complete theory of humor must include its exploitation in and effects on interaction, taking into account such matters as gender, power, solidarity, politeness and identity. Such an interactional theory of humor goes beyond a purely pragmatic description of jokes and joking.

396 Abstracts

The data for an interactional analysis of humor can not be limited to joke texts in books. Humor competence must include knowing how to perform *and* how to receive and respond to humor and jokes, and this will encompass an account of timing for both the tellers and recipients.

Research on humor in interaction has experienced a steady progression from the time that recordings and transcriptions of behavior became available in 1970s. Methods of analysis developed in Conversation Analysis, discourse analysis and interactional sociolinguistics allowed for the detailed examination of talk-in-interaction, and this enabled the description of humorous activities. Research has shown how joking can work as a strategy for enhancing intimacy, but also for controlling a conversation; an account of humor in conversation highlights the interactional achievement of puns, irony and sarcasm along with personal anecdotes and joke-telling between participants and the mutual construction of identity they accomplish in the process. Conversational joking – especially teasing and sarcasm – has a dual force: because it plays on relational identity, teasing directed at intimates can have the potential to hurt, even as it ratifies the bond between the interactants. Still, generally, humor facilitates friendly interaction and helps participants negotiate identity.

Humor can be characterized as a gendered discourse resource on which both men and women regularly draw when negotiating their gender identities in interaction; Women and men deploy humor differently, just as those with more power or control in a particular interaction use humor differently than those who are one down. Researchers have noted significant differences in the characteristics of men's and women's self-targeted humor, and shown that women and men tell different kinds of stories illustrating different types of humor;

The performance of a joke follows the pattern for storytelling generally; the major difference consists in the expectation of laughter at the conclusion. Jokes are told as 'understanding tests', since not everyone necessarily gets every joke, and getting jokes involves background knowledge and rational processing. This testing routinely aims to find common ground, rather than to embarrass the hearer, and both the teller and the hearer learn something about each other. Jokes allow group members to direct aggression at a third party, they can help create and enhance feelings of rapport.

The conversational joke performance represents a particularly salient example of interaction between the teller and the listeners. Timing in the performance of a joke is compounded of elements depending upon both the teller and the recipient: there are standard joke prefaces, characteristic patterns of syntax and discourse organization in the areas of tempo, fluency and information flow, and customary reactions at the completion of the joke. Further, there are characteristic points where a teller and/or a listener may laugh before the punch line: both tellers and recipients of jokes sometimes laugh about problems in the telling of a joke, and listeners may laugh in response to the preface of a joke, and at various incongruities during

Abstracts 397

the performance. All these features of joke-telling affect the overall timing of the performance: they guide the teller in how to construct and pace the performance, and they act as cues to the recipients of the joke, helping them respond to the performance in appropriate ways and to get the joke, so that they can laugh (or perhaps withhold laughter) at the right time.

Our everyday talk thrives on all kinds of stories, especially humorous ones. In exchanging anecdotes about our personal lives, we present personal identities for ratification by the other participants in the conversation. Personal anecdotes present an amusing scene which invites listeners to laugh and offer comments of their own. A story by one of them may spawn collaborative narration, whereby two or more participants contribute to or comment on a story in progress Frequently the details, dialogue and comments tend to introduce humor to a serious story or to render an already humorous story even funnier. Humor makes any story more tellable, even a familiar story, and humorous stories have characteristic patterns of participation: in particular, co-narration is acceptable whenever it creates humor. Familiar humorous stories regularly appear, and co-narration of such stories occurs quite commonly as well. The tellability of familiar stories hinges not on their content as such, but on the dynamics of the narrative event itself, and humor makes communal retelling desirable.

Personal narratives allow conversationalists to demonstrate group membership and present an identity on various levels; funny personal anecdotes add to these the introduction of entertainment and fun into the interaction and demonstration of a sense of humor as well as offering opportunities for active participation by others. One personal story often leads to another by a previous recipient, and two or more participants may co-construct a story about a shared experience: such collaborative narration serves to ratify group membership and modulate rapport in multiple ways, first because it allows participants to re-live pleasant common experiences, second because it confirms the long-term bond they share, third because the experience of collaborative narration itself redounds to feelings of belonging, and fourth because communal retelling serves to solidify shared stories and their significance for the members of the group.

278 Neal R. Norrick

References

- Apte, Mahadev L., Humor Research, Methodology and Theory in Anthropology, in: Paul E. McGhee/Jeffrey H. Goldstein (ed.), *Handbook of Humor Research*, vol. 1, New York 1983, 183–212.
- -, Humor and Laughter: An Anthropological Approach, Ithaca/London 1985.
- Attardo, Salvatore, Humorous Texts. A Semantic and Pragmatic Analysis, Berlin 2001.
- Bateson, Gregory, The Position of Humor in Human Communication, in: Heinz von Foerster (ed.), *Cybernetics, Ninth Conference*, New York 1953, 1–47.
- -, A Theory of Play and Fantasy, in: Gregory Bateson (ed.), Steps to an Ecology of Mind [1954], San Francisco 1972, 177–193.
- Bauman, Richard, Story, Performance and Event: Contextual Studies of Oral Narratives, Cambridge 1986.
- -, Folklore, Cultural Performances, and Popular Entertainments: A Communications-Centered Handbook, New York 1992.
- Bergson, Henri, Laughter: An Essay on the Meaning of the Comic [1899], New York 1911.

- Bird, Christy, "It's Not Very Funny": Heightened Performance through Prefaces of Formulaic Jokes in Interaction, *Texas Linguistic Forum* 52 (2008), 10–23.
- Blum-Kulka, Shoshana/Catherine E. Snow, Developing Autonomy for Tellers, Tales and Telling in Family Narrative Events, *Journal of Narrative and Life History* 2 (1993), 187–217.
- Boxer, Diana/Florencia Cortés-Conde, From Bonding to Biting: Conversational Joking and Identity Display, *Journal of Pragmatics* 27 (1997), 275–294.
- Branner, Rebecca, Humorous Disaster and Success Stories among Female Adolescents in Germany, in: Uta M. Quasthoff/Tabea Becker (ed.), *Narrative Interaction*, Amsterdam 2005, 113–147.
- Brown, Penelope/Stephen Levinson, Universals in Language Usage: Politeness Phenomena, in: Esther N. Goody (ed.), *Questions and Politeness*, Cambridge 1978, 56–310. [Reissued as: *Politeness: Some Universals in Language Usage*, Cambridge 1987.]
- Chapman, Anton J., Humor and Laughter in Social Interaction and some Implications, in: Paul E. McGhee/Jeffrey H. Goldstein (ed.), *Handbook of Humor Research*, vol. 1, New York 1983, 135–157.
- Clark, Herbert H./Richard J. Gerrig, On the Pretense Theory of Irony, *Journal of Experimental Psychology: General* 113 (1984), 121–126.
- Coates, Jennifer, Women, Men and Language, Harlow 2004.
- -, Talk in a Play Frame: More on Laughter and Intimacy, *Journal of Pragmatics* 39 (2007), 29–49.
- Colston, Herbert L./Jennifer O'Brien, Contrast and Pragmatics in Figurative Language: Anything Understatement Can Do, Irony Can Do Better, *Journal of Pragmatics* 32:11 (2000), 1557–1583.
- -, Contrast of Kind vs. Contrast of Magnitude: The Pragmatic Accomplishments of Irony and Hyperbole, *Discourse Processes* 30:2 (2000), 179–199 (Colston/O'Brien 2000b).
- Coser, Rose L., Laughter among Colleagues, *Psychiatry* 23 (1960), 81–95.
- Dews, Shelly/Ellen Winner, Muting and Meaning: A Social Function of Irony, *Metaphor and Symbolic Activity* 10:1 (1995), 3–20.
- Dews, Shelly/Joan Kaplan/Ellen Winner, Why not Say it Directly? The Social Functions of Irony, *Discourse Processes* 19 (1995), 347–367.
- Eder, Donna, »Go get ya a French!«: Romantic and Sexual Teasing among Adolescent Girls, in: Deborah Tannen (ed.), *Gender and Conversational Interaction*, New York 1993, 17–31.
- Eisenberg, Ann R., Teasing: Verbal Play in Mexican Homes, in: Bambi B. Schieffelin/Elinor Ochs (ed.), *Language Socialization Across Cultures*, Cambridge 1986, 182–199.
- Falk, Jane, The Conversational Duet, Berkeley Linguistics Society: Proceedings of the Sixth Annual Meeting, Berkeley 1980, 507–514.
- Fine, Gary Alan, Sociological Approaches to the Study of Humor in: Paul E. McGhee/Jeffrey H. Goldstein (ed.), *Handbook of Humor Research*, vol. 1, New York 1983, 159–181.
- Freud, Sigmund, Jokes and Their Relation to the Unconscious [1905], New York 1960.
- Fry, William F., Sweet Madness: A Study of Humor, Palo Alto 1963.
- Gibbs, Raymond W., Skating on Thin Ice. Literal Meaning and Understanding Idioms in Conversation, *Discourse Processes* 9 (1986), 17–30.
- -, The Poetics of Mind: Figurative Thought, Language, and Understanding, New York 1994.
- -, Irony in Talk among Friends, Metaphor and Symbol 15:1/2 (2000), 5-28.
- Giora, Rachel, On Irony and Negation, Discourse Processes 19:2 (1995), 239-265.
- -, Understanding Figurative and Literal Language: The Graded Salience Hypothesis, *Cognitive Linguistics* 8:4 (1997), 183–206.

280 Neal R. Norrick

- Goffman, Erving, On Face-Work, *Psychiatry* 18:3 (1955), 213–231. [Reprinted in: Erving Goffman, *InteractionRitual*, Chicago 1967, 5–45.]
- -, Frame Analysis. An Essay on the Organizations of Experience, New York 1974.
- Goodwin, Marjorie H., By-Play: Negotiating Evaluation in Story-Telling, in: Gregory R. Guy/Crawford Feagin/Deborah Schiffrin/John Baugh (ed.), *Towards a Social Science of Language: Papers in Honor of William Labov: 2. Social Interaction and Discourse Structures*, Philadelphia 1997, 77 102.
- Goody, Esther N., Introduction in: Esther N. Goody (ed.), *Questions and Politeness*, Cambridge 1978, 1–16.
- Grice, H. Paul, Logic and Conversation, in: Peter Cole/Jerry L. Morgan (ed.), *Syntax and Semantics*, vol. 3: *Speech Acts*, New York 1967/1975, 41–58.
- Günthner, Susanne, Zwischen Scherz und Schmerz Frotzelaktivitäten im Alltag, in: Helga Kotthoff (ed.), Scherzkommunikation. Beiträge aus der empirischen Gesprächsforschung, Opladen 1996, 81–109.
- Harwood, Jake/Howard Giles, »Don't Make me Laugh«: Age Representations in a Humorous Context, *Discourse & Society* 3:4 (1992), 403–436.
- Hay, Jennifer, The Pragmatics of Humor Support, *Humor: International Journal of Humor Research* 14:1 (2001), 55-82.
- -, Male Cheerleaders and Wanton Women: Humor among New Zealand Friends, *Te Reo* 45 (2002), 3–36.
- Hobbes, Thomas, Human nature (The English Works of Thomas Hobbes, vol. 4) [1650], London 1840.
- -, Leviathan [1651], London 1909.
- Hockett, Charles F., Jokes, in: Charles F. Hockett (ed.), *The View from Language* [1960], Athens, GA 1977, 257–289.
- Holmes, Janet, Politeness, Power and Provocation: How Humour Functions in the Workplace, *Discourse Studies* 2:2 (2000), 159–185.
- Sharing a Laugh: Pragmatic Aspects of Humour and Gender in the Workplace, *Journal of Pragmatics* 38:1 (2006), 26–50.
- -, Humour and the Construction of Maori Leadership at Work, *Leadership* 3:1 (2007), 5-27.
- Holmes, Janet/Meredith Marra, Over the Edge? Subversive Humor Between Colleagues and Friends, *Humor: International Journal of Humor Research* 15:1 (2002), 65–87.
- -, Having a Laugh at Work: How Humour Contributes to Workplace Culture, *Journal of Pragmatics* 34 (2002), 1683–1710 (Holmes/Marra 2002b).
- -, Humor and Leadership Style, *Humor: International Journal of Humor Research* 19:2 (2006), 119–138.
- Holmes, Janet/Stephanie Schnurr, Politeness, Humour and Gender in the Workplace: Negotiating Norms and Identifying Contestation, *Journal of Politeness Research* 1 (2005), 139–167.
- Holmes, Janet/Maria Stubbe, *Power and Politeness in the Workplace. A Sociolinguistic Analysis of Talk at Work*, London 2003.
- Hutcheson, Francis, Reflections on Laughter [1750], New York 1971.
- Hymes, Dell H., Two Types of Linguistic Relativity, in: William Bright (ed.), *Sociolinguistics*, The Hague 1966, 114–158.
- -, On Communicative Competence, Philadelphia 1971.
- -, Models of the Interaction of Language and Social Life, in: John J. Gumperz/Dell Hymes, Directions in Sociolinguistics, New York 1972, 35–71.

-, Foundations of Sociolinguistics, Philadelphia 1974.

Jefferson, Gail, Side Sequences, in: David Sudnow (ed.), *Studies in Social Interaction*, New York 1972, 294–338.

- -, A Technique for Inviting Laughter and Its Subsequent Acceptance / Declination, in: George Psathas (ed.), Everyday Language: Studies in Ethnomethodology, New York 1979, 79–96.
- -, On the Organization of Laughter in Talk about Troubles, in: J. Maxwell Atkinson/John Heritage (ed.), *Structures of Social Action*, Cambridge 1984, 346–369.
- -, An Exercise in the Transcription and Analysis of Laughter, in: Teun A. van Dijk (ed.), Handbook of Discourse Analysis, London 1985, 525 – 34.
- Jefferson, Gail/Harvey Sacks/Emanuel A. Schegloff, Some Notes on Laughing Together, *Pragmatics Microfiche* 1.8:A2, Cambridge 1976.
- Kotthoff, Helga, Impoliteness and Conversational Joking: On Relational Politics, *Folia Linguistica* 30:3/4 (1996), 299–325.
- -, Gender and Joking: On the Complexities of Women's Image Politics in Humorous Narratives, *Journal of Pragmatics* 32 (2000), 55–80.
- -, Gemeinsame Herstellung humoristischer Fiktionen im Gespräch. Eine namenlose Sprechaktivität in der spielerischen Modalität, in: Helga Andresen/Franz Januschek (ed.), SpracheSpielen, Freiburg 2007, 187–214.
- -, The Humorous Stylization of »New« Women and Men and Conservative Others, in: Peter Auer (ed.), Style and Social Identities: Alternative Approaches to Linguistic Heterogeneity, Berlin 2007, 445–475 (Kotthoff 2007b).

Kant, Immanuel, Critique of Judgment [1790], Oxford 1952.

Koestler, Arthur, The Act of Creation, New York 1964.

Kreuz, Roger J./Richard M. Roberts, Two Cues for Verbal Irony: Hyperbole and the Ironic Tone of Voice, *Metaphor and Symbolic Activity* 10:1 (1995), 21–31.

Kreuz, Roger J./Debra L. Long/Mary B. Church, On Being Ironic: Pragmatic and Mnemonic Implications, *Metaphor and Symbolic Activity* 6 (1991), 149–162.

Labov, William, Sociolinguistic Patterns, Philadelphia 1972.

Lakoff, Robin, The Logic of Politeness; or, Minding your P's and Q's, *Papers from the Ninth Regional Meeting of the Chicago Linguistics Society*, 1973, 292–305.

–, Persuasive Discourse and Ordinary Conversation, with Examples from Advertising, in: Deborah Tannen (ed.), Analyzing Discourse: Text and Talk, Washington, DC 1982, 25–42.

Lampert, Martin D./Susan M. Ervin-Tripp, Exploring Paradigms: The Study of Gender and Sense of Humor Near the End of the 20th Century, in: Willibald Ruch (ed.), *The Sense of Humor – Explorations of a Personality Characteristic*, Berlin 1998, 231–270.

-, Risky Laughter: Teasing and Self-Directed Joking Among Male and Female Friends, *Journal of Pragmatics* 38:1 (2006), 51–72.

Legman, Gershon, No Laughing Matter [1968], Bloomington 1982.

Linstead, Steve, Jokers Wild: Humor in Organizational Culture, in: Chris Powell/George E. C. Paton (ed.), *Humor in Society*, New York 1988, 123–148.

Matsumoto, Yoshiko, Dealing with Life Changes: Humour in Painful Self-Disclosures by Elderly Japanese Women, *Ageing and Society* 29 (2009), 929–952.

Morreall, John, Taking Laughter Seriously, Albany 1983.

Norrick, Neal R., Stock Conversational Witticisms, *Journal of Pragmatics* 8 (1984), 195–209.

 –, A Frame-theoretical Analysis of Verbal Humour: Bisociation as Schema Conflict, Semiotica 60 (1986), 225-45. Neal R. Norrick

- -, Intertextuality in Humor, *Humor: International Journal of Humor Research* 2 (1989), 117 139.
- -, Repetition in Canned Jokes and Spontaneous Conversational Joking, *Humor: International Journal of Humor Research* 6 (1993), 385–402.
- -, Conversational Joking: Humor in Everyday Talk, Bloomington 1993 (Norrick 1993b).
- -, Twice-Told Tales: Collaborative Narration of Familiar Stories, *Language in Society* 26 (1997), 199–220.
- -, Retelling Stories in Spontaneous Conversation, Discourse Processes 25 (1998), 75-97.
- -, Conversational Narrative, Amsterdam 2000.
- -, On the Conversational Performance of Narrative Jokes: Toward an Account of Timing, *Humor: International Journal of Humor Research* 14 (2001), 255–274.
- -, Non-Verbal Humor and Joke Performance, *Humor: International Journal of Humor Research* 17 (2004), 401–409.
- Ochs, Elinor/Ruth Smith/Carolyn Taylor, Dinner Narratives as Detective Stories, *Cultural Dynamics* 2 (1989), 238–257.

Oring, Elliott, Engaging Humor, Champaign 2003.

Pilcher, William W., The Portland Longshoremen, New York 1972.

Philips, Susan U., Teasing, Punning, and Putting People On. Working Papers in Sociolinguistics 28 (1975).

Polanyi, Livia, Telling the American Story, A Structural and Cultural Analysis of Conversational Storytelling, Norwood, NJ 1985.

Radcliff-Brown, Alfred R., On Joking Relationships, Africa 13 (1940), 195-210.

Raskin, Victor, Semantic Mechanisms of Humor, Dordrecht 1985.

Roberts, Richard M/Roger J. Kreuz, Why Do People Use Figurative Language? *Psychological Science* 5 (1994), 159–163.

- Sacks, Harvey, On Some Puns with Some Intimations, in: Roger W. Shuy (ed.), Report of the Twenty-Third Annual Roundtable Meeting in Linguistics and Language Studies, Washington, DC 1973, 135–144.
- -, An Analysis of the Course of a Joke's Telling, in: Richard Bauman/Joel Sherzer (ed.), *Explorations in the Ethnography of Speaking*, Cambridge 1974, 337–353.
- Schegloff, Emanuel A., Some Sources of Misunderstanding in Talk-in-Interaction, *Linguistics* 25 (1987), 201–218.
- Schegloff, Emanuel A./Gail Jefferson/Harvey Sacks, The Preference for Self-Correction in the Organization of Repair in Conversation, *Language* 53 (1977), 361–382.
- Schenkein, James N., Towards an Analysis of Natural Conversation and the Sense of *Heheh*, *Semiotica* 6 (1972), 344–377.
- Schnurr, Stephanie, Leadership Discourse at Work: Interactions of Humour, Gender and Workplace Culture, New York 2009.
- Schnurr, Stephanie/Janet Holmes, Using Humor to Do Masculinity at Work, in: Neal R. Norrick/Delia Chiaro (ed.), *Humor in Interaction*, Amsterdam 2009, 101–124.

Schopenhauer, Arthur, Die Welt als Wille und Vorstellung, Leipzig 1819.

Sherzer, Joel, Oh! That's a Pun and I Didn't Mean It, Semiotica 22 (1978), 335-350.

- -, Puns and Jokes, in: Teun A. van Dijk (ed.), *Handbook of Discourse Analysis*, vol. 3, *Discourse and Dialogue*, London 1985, 213–221.
- Sperber, Dan, Verbal Irony: Pretense or Echoic Mention? *Journal of Experimental Psychology:* General 113 (1984), 130–136.

Sperber, Dan/Deirdre Wilson, Irony and the Use/Mention Distinction, in: Peter Cole (ed.), *Radical Pragmatics*, New York 1981, 295–318.

Straehle, Carolyn A., Samuel ? Yes, dear? Teasing and Conversational Rapport, in: Deborah Tannen (ed.) *Framing in Discourse*, Oxford 1993, 210–230.

Sully, James, An Essay on Laughter, New York 1902.

Sykes, Andrew J. M., Joking Relationships in an Industrial Setting, *American Anthropologist* 68 (1966), 188–193.

Tannen, Deborah, Conversational Style, Norwood, NJ 1984.

- -, That's not what I Meant! New York 1986.
- -, Talking Voices: Repetition, Dialogue, and Imagery in Conversational Discourse, Cambridge 1989.
- -, You just don't Understand, New York 1990.

Tsakona, Villy, Jab lines in Narrative Jokes, *International Journal of Humor Research* 16:3 (2003), 315-329.

Wilson, Christopher P., Jokes: Form, Content, Use, and Function, London 1979.

Wilson, Deirdre/Dan Sperber, On Verbal Irony, Lingua 87:1 (1992), 53-76.

Yedes, Janet, Playful teasing: Kiddin' on the Square, *Discourse and Society* 7:3 (1996), 417–438.

Ziv, Abner, Personality and Sense of Humor, New York 1984.

Full-length article in: JLT 3/2 (2009), 261-284.

How to cite this item:

Abstract of: Neal R. Norrick, A Theory of Humor in Interaction. In: JLTonline (05.11.2010)

Persistent Identifier: urn:nbn:de:0222-001261

Link: http://nbn-resolving.de/urn:nbn:de:0222-001261